

I, _____ the undersigned, understand and agree to uphold the following Code of Conduct in the fulfilment of my role as an ICCASA Auditor/ Technical Expert/ Trainer/ Contracted Service Provider/ Committee Member/ Sub-contracted Supplier/ External Auditor or in my role as acting on behalf of, or representing ICCASA:

1. To act professionally, ethically and in an unbiased manner.
2. To strive to increase the competence and prestige of the auditing profession.
3. To assist those in my employ or under my supervision in developing their management, professional and auditing skills.
4. To take due professional care and not to undertake audits that I am not competent to perform.
5. I will be committed to the highest ethical standard.
6. I will provide advice, which is objective, independent, apolitical and impartial.
7. I will show respect towards all persons, including employees, clients and the general public.
8. I acknowledge the primacy of the public interest and undertake that any conflict-of-interest issue will be resolved or appropriately managed in favour of the public interest.
9. I will be committed to honest, impartial, fair and respectful engagement with all ICCASA clients and interested parties and in carrying out my duties.
10. I will always disclose a personal interest that could, now or in the future, be seen as influencing the performance of my duties.
11. I will apply due care in my work and provide accurate and impartial advice to all clients.
12. I will not allow personal relationships or considerations, including bias or favouritism, to influence the performance of my duties and I will avoid situations that create a conflict of interest.
13. To make fair presentations of audit findings based on verifiable audit evidence.
14. Not to represent conflicting or competing interests and/or to disclose to any client or employer any relationships that may influence my judgement.
15. Not to discuss or disclose any information relating to an audit unless required by law or authorised in writing by the auditee and the auditing organisation.
16. Not to accept any inducement (commission, gift or any other benefit) from auditee organisations, their employees or any interested party or knowingly allow colleagues to do so. Should I become aware of colleagues accepting any inducement, I will report such to the Certification Manager who will not disclose my identity. I may also choose to report this anonymously.
17. Not intentionally communicate false or misleading information that may compromise the integrity of any audit or the certification process.
18. Not to act in any way that would prejudice the reputation of the auditor, certification body or the certification process and to co-operate fully with an enquiry in the event of any alleged breach of this code.

19. I will declare to the ICCASA Certification Manager as soon as I become aware that my personal interests or the personal interests of my close relatives or close friends may potentially conflict with the interests of ICCASA.
20. I will respect and protect the confidential information that is entrusted by customers and third parties in the course of business and will take appropriate measures to prevent accidental disclosure.
21. I will maintain the confidentiality of ICCASA information and the personal data of colleagues and not disclose or discuss any sensitive information regarding ICCASA financial performance, investment, strategies, plans or customers. This obligation continues after the end of the employment relationship.
22. I will sign the FRM 06 Confidentiality, Impartiality and Non- Disclosure Agreement and where required, will sign a new non-disclosure, should my circumstance change and I need to disclose any potential conflict of interest.

I understand that I am required to abide by this Code of Conduct and that my failure to do so may result in my suspension or withdrawal from ICCASA certification activities.

I confirm that all information that I have provided to ICCASA is correct to the best of my knowledge.

I understand and accept that if I provide incorrect information or withhold relevant, requested information, I am likely to be suspended or my services terminated by ICCASA.

I also understand that I am obliged to notify ICCASA without delay of any changes to my circumstances which may affect my status or relationship with ICCASA, including any complaints.

Name

Signature

Date

Witnessing Name

Signature

Date